SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL OMB Number: 3235-0287 Estimated average burden

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person*				2. Issuer Name and Ticker or Trading Symbol Synchrony Financial [SYF]									5. Relationship of Reporting Person(s) to Issuer (Check all applicable)							
Alves Paget Leonard													X	Director			10% Ov	wner		
															Officer (g	ive title		Other (s	specify	
	(Last) (First) (Middle)						3. Date of Earliest Transaction (Month/Day/Year)								below)			below)		
C/O SYNCHRONY FINANCIAL					09/30/2018															
777 LONG RIDGE ROAD																				
(Street)					4. lf /	4. If Amendment, Date of Original Filed (Month/Day/Year)								6. Indiv	6. Individual or Joint/Group Filing (Check Applicable Line)					
STAMFORD CT 06902														X						
															Form file	d by More	than C	One Reportin	g Person	
(City)	(State)	(Zi	p)																	
		Ţ	able I - No	n-De	rivativ	ve S	ecuritie	es Acq	uired,	Disp	osed of	, or	Benefi	cially Ow	ned					
Date					Nonth/Day/Year) if any		Execution Date,					cquired (A)) (Instr. 3,		Securities Beneficiall Following	5. Amount of Securities Beneficially Owned Following Reported Transaction(s)		vnership n: Direct (D) direct (I) r. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
							Code	v	Amount	t (A) or (D) Pr		Price	(Instr. 3 and 4)				(instr. 4)			
Common Stock 09/3					30/2018 ⁽¹⁾			A		1,086 ⁽¹⁾ A		\$31.08	13,8	13,873		D				
Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)																				
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)		4. Transaction Code (Instr. 8)		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Expirati (Month/		nd 7. Title and Amou Securities Underl Derivative Securit 3 and 4)		derlying	8. Price of Derivative Security (Instr. 5)	9. Number derivative Securitie Beneficia Owned Following Reported Transact	e es ally g	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	Beneficial Ownership (Instr. 4)		
					Code	v	(A)	(D)	Date Exercis	able	Expiration Date	Title	9	Amount or Number of Shares		(Instr. 4)				

Explanation of Responses:

1. Represents restricted stock units that will vest in full on September 30, 2019. Each restricted stock unit represents a contingent right to receive one share of Synchrony Financial common stock. Remarks:

10/02/2018 /s/ Danielle Do, attorney-in-fact

** Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.