SEC Form 4

## FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL
OMB Number: 3235-0287
Estimated average burden

Estimated average burden hours per response: 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person <sup>*</sup> GUTHRIE ROY A					2. Issuer Name and Ticker or Trading Symbol <u>Synchrony Financial</u> [ SYF ]										ionship of Reporting all applicable) Director		10% Owne			
(Last) (First) (Middle) C/O SYNCHRONY FINANCIAL 777 LONG RIDGE ROAD					3. Date of Earliest Transaction (Month/Day/Year) 03/31/2018										Officer (g below)	ive title		Other (s below)	specify	
(Street) STAMFORD CT 06902 (City) (State) (Zip)					4. If Amendment, Date of Original Filed (Month/Day/Year)									6. Indiv X	6. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person Form filed by More than One Reporting Person					
		Т	able I - No	n-De	rivati	ve S	ecuritie	es Acq	uired,	Disp	oosed of	, or	Benefi	cially Ow	ned					
Date					2. Transaction Date (Month/Day/Year)		2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and				5. Amount of Securities Beneficially Owned Following Reported Transaction(s)		6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		7. Nature of Indirect Beneficial Ownership		
									Code	v	Amount		(A) or (D)	Price	(Instr. 3 and				(Instr. 4)	
Common Stock 03/2					03/31/2018				Α		1,007	(1)	Α	\$33.53	14,620			D		
Common Stock															10,000			Ι	See footnote <sup>(2)</sup>	
			Table II -								sed of, o onvertibl				d					
1. Title of Derivative Security (Instr. 3)     2. Conversion or Exercise Derivative Security     3. Transaction Date (Month/Day/Year)     3.A. Deemed Execution Date (Month/Day/Year)			Code (Instr.		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Expirat (Month Date Exercis	ion Da /Day/Y		Securities Underly Derivative Security 3 and 4)		derlying curity (Instr.	8. Price of Derivative Security (Instr. 5)	9. Numb derivativ Securitie Beneficia Owned Followin Reported Transact (Instr. 4)	re es ally d d tion(s)	Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)			

## Explanation of Responses:

1. Represents restricted stock units that will vest in full on March 31, 2019. Each restricted stock unit represents a contingent right to receive one share of Synchrony Financial common stock.

2. Mr. Guthrie is the Investment Manager of Guthrie 2012 Investments LP, which owns 10,000 shares of common stock. Mr. Guthrie disclaims beneficial ownership of the shares of common stock held by Guthrie 2012 Investments LP, except to the extent of his direct pecuniary interest therein.

## Remarks:

/s/ Danielle Do, as attorney in fact 04/03/2018

Date

\*\* Signature of Reporting Person

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 $^{\star}$  If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.