SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL OMB Number: 3235-0287 Estimated average burden

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person [*] Alves Paget Leonard					2. Issuer Name and Ticker or Trading Symbol Synchrony Financial [SYF]										5. Relationship of Reporting Person(s) to Issuer (Check all applicable)					
Alves Paget	Leonard					10111	<u>ong 1 n</u>	<u>inunione</u>	<u></u>	. 1				X	Director			10% Ov		
(Last) (First) (Middle) C/O SYNCHRONY FINANCIAL 777 LONG RIDGE ROAD					3. Date of Earliest Transaction (Month/Day/Year) 03/31/2021										Officer (g below)	ive title		Other (below)	specify	
(Street) STAMFORD (City)	STAMFORD CT 06902										6. Indiv X	6. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person Form filed by More than One Reporting Person								
		Т	able I - Nor	n-Der	ivativ	ve S	ecuritie	es Acq	uired,	Disp	osed of	, or	Benefi	cially Ow	ned					
Date					. Transaction late Month/Day/Year)		2A. Deemed Execution Date, if any (Month/Day/Year)		Transaction Dispose Code (Instr.			ties Acquired (A) or I Of (D) (Instr. 3, 4 and			5. Amount of Securities Beneficially Owned Following Reported Transaction(s)		6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		7. Nature of Indirect Beneficial Ownership (Instr. 4)	
									Code	v 🛛	Amount	unt (A) or Pi (D) Pi		Price	(Instr. 3 and 4)				(
Common Stock	Common Stock 03/31				1/202	1 ⁽¹⁾			Α		831	A \$40.6		\$40.66	26,692			D		
Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)																				
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security		3A. Deemed Execution Da if any (Month/Day/Y	te, T	Code (Instr.		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Exercisable Expiration Date (Month/Day/Year)		te	Securities Underl Derivative Securi 3 and 4)		derlying curity (Instr.	8. Price of Derivative Security (Instr. 5)	9. Number derivative Securitie Beneficia Owned Following Reported Transact	e es ally g	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	Beneficial Ownership (Instr. 4)	
				c	ode	v	(A)	(D)	Date Exercisa		Expiration Date	Title	•	Amount or Number of Shares		(Instr. 4)	. ,			

Explanation of Responses:

1. Represents restricted stock units that will vest in full on March 31, 2022. Each restricted stock unit represents a contingent right to receive one share of Synchrony Financial common stock.

Remarks:

04/02/2021 /s/ Danielle Do, attorney-in-fact ** Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.