SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL OMB Number: 3235-0287

Estimated average burden hours per response: 0.5

| Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b). |
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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| 1. Name and Address of Reporting Person* <u>SNOWE OLYMPIA J.</u> | | | | | 2. Issuer Name and Ticker or Trading Symbol <u>Synchrony Financial</u> [SYF] | | | | | | | | | (Check | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable) X Director 10% Owner | | | | | |
|---|---|---|---|-------|---|--|--|---------|---|------|-----------------------|--|---------------|----------------------------------|---|---|---|---|---|--|
| | | | | | | | | | | | | | | X | Director Officer (g | ive title | | 10% O Other (| | |
| (Last) (First) (Middle) C/O SYNCHRONY FINANCIAL 777 LONG RIDGE ROAD | | | | | | 3. Date of Earliest Transaction (Month/Day/Year) 06/30/2019 | | | | | | | | | below) | | | below) | | |
| (Street) STAMFORD CT 06902 (City) (State) (Zip) | | | | | | | 4. If Amendment, Date of Original Filed (Month/Day/Year) | | | | | | | | 6. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person Form filed by More than One Reporting Person | | | | | |
| | | Т | able I - Nor | n-Der | rivativ | ve S | ecuritie | es Acq | uired, | Disp | osed of | , or | Benefi | cially Ow | ned | | | | | |
| Date | | | | | 2. Transaction Date (Month/Day/Year) | | 2A. Deemed Execution Date, if any (Month/Day/Year) | | | | | 4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 an | | | 5. Amount of Securities Beneficially Owned Following Reported | | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | | 7. Nature of Indirect Beneficial Ownership | |
| | | | | | | | | | Code | v | Amount | | (A) or (D) | Price | Transaction(s) (Instr. 3 and 4) | | | | (Instr. 4) | |
| Common Stock 06/3 | | | | | 30/201 | 019 ⁽¹⁾ A 974 A \$3 | | \$34.67 | 18,598 | | | D | | | | | | | | |
| | | | Table II - I (| | | | | | | | sed of, o nvertibl | | | | d | | | | | |
| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | | 3A. Deemed Execution Date, if any (Month/Day/Year) | | Code (Instr. | | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | | 6. Date Exercisabl Expiration Date (Month/Day/Year) | | te | le and Securities Underl Derivative Securit 3 and 4) | | derlying | 8. Price of Derivative Security (Instr. 5) | 9. Number derivative Securitie Beneficia Owned Following Reported Transact | e es ally g | Ownership Form: Iy Direct (D) or Indirect (I) (Instr. 4 | Beneficial Ownership (Instr. 4) | |
| | | | | | Code | v | (A) | (D) | Date Exercisa | able | Expiration Date | Title | 9 | Amount or Number of Shares | | (Instr. 4) | | | | |

Explanation of Responses:

1. Represents restricted stock units that will vest in full on June 30, 2020. Each restricted stock unit represents a contingent right to receive one share of Synchrony Financial common stock.

Remarks:

/s/ Danielle Do, as attorney in fact 07/02/2019

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.