SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL OMB Number: Estimated average burden hours per response: 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Addres KEANE MAI	s of Reporting Person [*]		2. Issuer Name and Ticker or Trading Symbol Synchrony Financial [SYF]	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)							
(Last)	(First) NY FINANCIAL	(Middle)	3. Date of Earliest Transaction (Month/Day/Year) 06/14/2019		Director Officer (give title below) See rema	10% Owner Other (specify below) rks					
(Street) STAMFORD (City)	CT (State)	06902 (Zip)	4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person Form filed by More than One Reporting Person							
Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned											

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5)		5. Amount of Securities Beneficially Owned Following Reported		7. Nature of Indirect Beneficial Ownership	
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(Instr. 4)
Common Stock	06/14/2019(1)		М		125,000	A	\$23	648,901	D	
Common Stock	06/14/2019(1)		S		125,000	D	\$33.82 ⁽²⁾	523,901	D	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities) 1. Title of Derivative Security (Instr. 3) 2. Conversion 3. Transaction 3A. Deemed 5. Number of 6. Date Exercisable and 7. Title and Amount of 8. Price of 9. Number of derivative 10. 11. Nature Securities Underlying Derivative Security (Instr. 3 Execution Date, Transaction Derivative Ownership of Indirect Beneficial Derivative Expiration Date if any (Month/Day/Year) or Exercise Price of Derivative Security Form: Direct (D) or Indirect (I) (Instr. 4) (Month/Dav/Year) (Month/Day/Year) Security (Instr. 5) Code (Instr. Securities Securities Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4) Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) 8) and 4) Ownership (Instr. 4) Amount or Number of Shares Date Expiration Date Code v (A) (D) Exercisable Title Employee Stock Commor Option (right to \$<mark>23</mark> 06/14/2019⁽¹⁾ М 125,000 07/31/2018 07/31/2024 125,000 \$<mark>0</mark> 198,218 D Stock buv)

Explanation of Responses:

1. This transaction was made pursuant to a Rule 10b5-1 trading plan adopted by the reporting person on May 13, 2019.

2. This price is a weighted average price. Information regarding the number of shares sold at each price will be provided upon request.

Remarks:

Chief Executive Officer

/s/ Danielle Do, as attorney-in-fact 06/18/2019

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

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