SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| 1. Name and Address of Reporting Person [*] Whynott Paul | | | | | Name and Ticker of rony Financia | | | bol | | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director 10% Owner Officera (size title 2014 of the constraints) | | | | | |
|---|--|---|-------------------|--|---|---|---|---------------|-----------------------------------|---|---|------------------------|---|---|--|
| (Last) (First) (Middle) C/O SYNCHRONY FINANCIAL 777 LONG RIDGE ROAD | | | | 3. Date o 07/31/2 | f Earliest Transacti 017 | on (Montl | h/Day | /Year) | | X Officer (give title Other (specify below) See remarks | | | | | |
| (Street) STAMFORD CT 06902 | | | | | 4. If Amendment, Date of Original Filed (Month/Day/Year) | | | | | | 6. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person Form filed by More than One Reporting Person | | | | |
| (City) (State) (Zip) | | | | | | | | | | | | | | | |
| | | Т | able I - No | | Securities Acc | luired, | Disp | · · · | | | rned | | | | |
| Date | | | | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | | | s Acquired (A of (D) (Instr. 3 | | | | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership | |
| | | | | | Code | v | Amount | (A) or (D) | Price | (Instr. 3 and 4) | | | (Instr. 4) | | |
| Common Stock 07/ | | | | 07/31/2017 | | F | | 4,907(1) |) D | \$30.32 | 46,114 | | D | | |
| | | | | | curities Acqui Ils, warrants, | | | | | | əd | | | | |
| 1. Title of Derivative | | | 4. Transaction | 4. 5. Number of Transaction Derivative | | | 6. Date Exercisable and Expiration Date 7. Title and Ar Securities Un | | | | | er of 10. Ownership | 11. Nature of Indirect | | |

| Derivative Security (Instr. 3) | Conversion or Exercise Price of Derivative Security | 3. Iransaction Date (Month/Day/Year) | SA. Deenied Execution Date, if any (Month/Day/Year) | Transaction Code (Instr. 8) | | Derivative | | 6. Date Exercisable and Expiration Date (Month/Day/Year) | | Securities Underlying Derivative Security (Instr. 3 and 4) | | Derivative Security (Instr. 5) | derivative Securities Beneficially Owned Following Reported | Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | Beneficial Ownership |
|-----------------------------------|---|--|--|-----------------------------------|---|------------|-----|--|--------------------|--|----------------------------------|--------------------------------------|--|---|-------------------------|
| | | | | Code | v | (A) | (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | | Transaction(s) (Instr. 4) | | |

Explanation of Responses:

1. Reflects the number of shares of Synchrony Financial (the "Company") common stock withheld by the Company to pay the tax liability of the Reporting Person in connection with the vesting of restricted stock units.

Executive Vice President, Chief Risk Officer

/s/ Danielle Do as attorney in fact 08/07/2017

** Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

KNOW ALL BY THESE PRESENTS, that the undersigned hereby constitutes and appoints Jonathan S. Mothner, Executive Vice President, General Counsel and Secretary of SYNCHRONY FINANCIAL, a (1) apply for electronic access codes with the United States Securities and Exchange Commission (the "SEC") on my behalf; (2) prepare, execute, acknowledge, deliver and file Forms 3, 4 and 5 (including any amendments thereto) with respect to the securities of the Company, with the SEC and (3) take any other action of any type whatsoever in connection with the foregoing which, in the opinion of such attorney-in-fact, may be of benefit to, in the best int

The undersigned hereby grants to each such attorney-in-fact full power and authority to do and perform any and every act and thing whatsoever requisite, necessary or proper to be done This Power of Attorney shall remain in full force and effect until the undersigned is no longer required to file Forms 3, 4 and 5 with respect to the undersigned's holdings of and tra

IN WITNESS WHEREOF, the undersigned has caused this Power of Attorney to be executed as of this 5th day of April, 2017.

/s/ Paul Whynott By: Name: Paul Whynott