SEC Form 4

## FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL
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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Title of Security	(Instr. 3)		2. Transaction Date	2A. Deemed Execution Date,	3. Transaction	4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 ar	ıd 5)	5. Amount of Securities	6. Ownership Form: Direct (D)	7. Nature of Indirect	
		Table I - Non	-Derivative S	ecurities Acq	uired, Disp	osed of, or Beneficia	lly Ow	ned			
(City)	(State)	(Zip)									
(Street) STAMFORD	СТ	06902	4. If Amer	idment, Date of Or	iginal Filed (Mo	nth/Day/Year)	<ol> <li>Individual or Joint/Group Filing (Check Applicable Line)</li> <li>X Form filed by One Reporting Person</li> <li>Form filed by More than One Reporting Person</li> </ol>				
(Last) C/O SYNCHRO 777 LONG RID	3. Date of 04/01/20	Earliest Transaction	on (Month/Day/	Year)		See remarks					
1. Name and Address of Reporting Person* DOUBLES BRIAN D (Last) (First) (Middle)				Name <b>and</b> Ticker c Cony Financia		ol		tionship of Reporting F all applicable) Director Officer (give title below)	10% C	wner (specify	

	(Month/Day/Year)	if any (Month/Day/Year)	Code (li 8)					Following Reported	or Indirect (I) (Instr. 4)	Beneficial Ownership (Instr. 4)
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(instr. 4)
Common Stock	04/01/2017		Α		43,135 <sup>(1)</sup>	Α	\$ <mark>0</mark>	204,988	D	
Common Stock	04/01/2017		F		2,944 <sup>(2)</sup>	D	\$34.3	202,044	D	

 
 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		(Instr. 4)		
Employee Stock Option (right to buy)	\$34.3	04/01/2017		A		28,449		(3)	04/01/2027	Common Stock	28,449	\$0	279,307	D	

Explanation of Responses:

1. Represents restricted stock units that will vest in five equal annual installments of 20% each, beginning on the first anniversary of the grant date. Each restricted stock unit represents a contingent right to receive one share of Synchrony Financial common stock.

2. Reflects the number of shares of Company common stock withheld by the Company to pay the tax liability of the Reporting Person in connection with the vesting of restricted stock units.

3. The option will vest in five equal annual installments of 20% each, beginning on the first anniversary of the grant date.

## Remarks:

Executive Vice President and Chief Financial Officer

/s/ Danielle Do, as attorney in fact 04/04/2017

\*\* Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.