SEC Form 4

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## FORM 4

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL OMB Number: 3235-0287

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| 1. Name and Address of Reporting Person  |   |  |   |       |   | 2. Issuer Name <b>and</b> Ticker or Trading Symbol<br><u>Synchrony Financial</u> [SYF] |  |         |  |        |  |                         |  |                   | 5. Relationship of Reporting Person(s) to Issuer<br>(Check all applicable) |   |                     |  |   |  |
|--|---|--|---|-------|---|--|--|---------|--|--------|--|-------------------------|--|-------------------|--|---|---------------------|--|---|--|
| HARTNACK RICHARD C   |   |  |   |       |   |  |  |         |  |        |  |                         |  | X                 | Director   |   |                     | 10% Ov   | wner  |  |
|  |   |  |   |       |   |  |  |         |  |        |  |                         |  |                   | Officer (g   | ive title   |                     | Other (  | specify   |  |
| (Last) (First) (Middle)  |   |  |   |       |   | 3. Date of Earliest Transaction (Month/Day/Year)                                       |  |         |  |        |  |                         |  |                   | below)   |   | below)              |  |   |  |
| C/O SYNCHRONY FINANCIAL  |   |  |   |       |   | 06/30/2015   |  |         |  |        |  |                         |  |                   |  |   |                     |  |   |  |
| 777 LONG RIDGE ROAD  |   |  |   |       |   |  |  |         |  |        |  |                         |  |                   |  |   |                     |  |   |  |
| (Street)   |   |  |   |       | 4. If A                                 | 4. If Amendment, Date of Original Filed (Month/Day/Year)                               |  |         |  |        |  |                         |  |                   | 6. Individual or Joint/Group Filing (Check Applicable Line)                |   |                     |  |   |  |
| STAMFORD CT 06902  |   |  |   |       |   |  |  |         |  |        |  |                         | X  |                   |  |   |                     |  |   |  |
|  |   |  |   |       |   |  |  |         |  |        |  |                         |  |                   | Form file  | Form filed by More than One Re  |                     |  | g Person  |  |
| (City)   | (State)   | (Zi  | p)  |       |   |  |  |         |  |        |  |                         |  |                   |  |   |                     |  |   |  |
| Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned   |   |  |   |       |   |  |  |         |  |        |  |                         |  |                   |  |   |                     |  |   |  |
| Date   |   |  |   |       |   |  | 2A. Deemed<br>Execution Date,<br>if any<br>(Month/Day/Year)  |         | 3.<br>Transac<br>Code (I<br>8)                                 |        | 4. Securities Acquired (A) or<br>Disposed Of (D) (Instr. 3, 4 an |                         |  | a) or<br>4 and 5) | Securities<br>Beneficiall<br>Following                                     | 5. Amount of<br>Securities<br>Beneficially Owned<br>Following Reported<br>Transaction(s)      |                     | vnership<br>I: Direct (D)<br>direct (I)<br>I: 4)                         | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |  |
|  |   |  |   |       |   |  |  | Code    | v  | Amount |  | (A) or<br>(D)           | Price  | (Instr. 3 and 4)  |  |   |                     | (11311. 4)   |   |  |
| Common Stock 06/2  |   |  |   |       | /30/201                                 | 5  |  |         | Α  |        | 836(   | 836 <sup>(1)</sup> A \$ |  | \$32.93           | 5,7  | ,789  |                     | D  |   |  |
| Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned   (e.q., puts, calls, warrants, options, convertible securities) |   |  |   |       |   |  |  |         |  |        |  |                         |  |                   |  |   |                     |  |   |  |
|  |   |  | (   | e.g., | puts,                                   | calls  | s, warr  | ants, o | option   | s, co  | onvertibl  | le se                   | ecuritie   | s)                |  |   |                     |  |   |  |
| 1. Title of<br>Derivative<br>Security (Instr. 3)   | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction<br>Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date,<br>if any<br>(Month/Day/Year) |       | 4.<br>Transaction<br>Code (Instr.<br>8) |  | 5. Number of<br>Derivative<br>Securities<br>Acquired (A)<br>or Disposed of<br>(D) (Instr. 3, 4<br>and 5) |         | 6. Date Exercisable and<br>Expiration Date<br>(Month/Day/Year) |        |  | Sec<br>Der              | itle and A<br>curities Un<br>ivative Se<br>nd 4) |                   | 8. Price of<br>Derivative<br>Security<br>(Instr. 5)                        | 9. Number<br>derivativ<br>Securitie<br>Beneficia<br>Owned<br>Followin<br>Reported<br>Transact | e<br>s<br>ally<br>g | 10.<br>Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I) (Instr. 4) | Beneficial<br>Ownership<br>(Instr. 4)                             |  |
|  |   |  |   |       |   |  |  |         |  |        |  |                         |  | Amount or         |  | (Instr. 4)  | /                   |  |   |  |

Date Exercisable

Expiration

Titlo

Date

Explanation of Responses:

1. Represents restricted stock units that will vest in full on June 30, 2018. Each restricted stock unit represents a contingent right to receive one share of Synchrony Financial common stock.

(A)

(D)

Code v

Remarks:

/s/ Danielle Do, as attorney in fact 07/02/2015

\*\* Signature of Reporting Person Date

Amount or Number of

Shares

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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