SEC Form 4

## FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL
OMB Number: 3235-0287
Estimated average burden
hours per response: 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1	s of Reporting Person*		2. Issuer Name and Ticker or Trading Symbol <u>Synchrony Financial</u> [SYF]	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)							
MOTHNER.	<u>IONATHAN S</u>		<u>Synchrony r manetar</u> [ Str ]	ľ	Director	10% Owner					
(Last)	(First)	(Middle)		X	Officer (give title below)	Other (specify below)					
	NY FINANCIAL	(,	3. Date of Earliest Transaction (Month/Day/Year) 03/02/2020		See rema	rks					
777 LONG RID	GE ROAD										
(Street)			4. If Amendment, Date of Original Filed (Month/Day/Year)		idual or Joint/Group Filing (	Check Applicable Line)					
STAMFORD	СТ	06902		X	Form filed by One Repo Form filed by More than	0					
(City)	(State)	(Zip)			Torri nica by more than	one reporting reason					
	Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned										

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership
			Code	v	Amount	(A) or (D)	Price	(Instr. 3 and 4)		(Instr. 4)
Common Stock	03/02/2020(1)		М		100,000	A	\$23	241,777	D	
Common Stock	03/02/2020(1)		S		100,000	D	\$30.2 <sup>(2)</sup>	141,777	D	

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transac Code (Ir 8)		Derivative E		erivative Expiration Date ecurities (Month/Day/Year acquired (A) or bisposed of (D)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	Reported	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		Transaction(s) (Instr. 4)		
Common Stock	\$23	03/02/2020 <sup>(1)</sup>		М			100,000	07/31/2018	07/31/2024	Common Stock	100,000	\$ <b>0</b>	15,435	D	

## Explanation of Responses:

1. This transaction was made pursuant to a Rule 10b5-1 trading plan adopted by the reporting person on January 28, 2020.

2. This price is a weighted average price. Information regarding the number of shares sold at each price will be provided upon request.

## Remarks:

EVP, General Counsel and Secretary

/s/ Danielle Do, as attorney in fact 03/04/2020

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.