SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL OMB Number: 3235-0287 Estimated average burden hours per response: 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person*				2. Issuer Name and Ticker or Trading Symbol <u>Synchrony Financial</u> [SYF]				5. Relationship of Reporting Person(s) to Issuer (Check all applicable)				
MELITO DA	<u>VID P</u>			<u>ony r manere</u>			1	Director	10% O	wner		
(Last)	(First)	(Middle)	_				X	Officer (give title below)	Other (below)	specify		
C/O SYNCHRONY FINANCIAL				3. Date of Earliest Transaction (Month/Day/Year) 07/10/2019				See remarks				
777 LONG RID	GE ROAD											
(Street)			4. If Amer	4. If Amendment, Date of Original Filed (Month/Day/Year)				6. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person				
STAMFORD CT 06902												
								Form filed by More	than One Reporting	ng Person		
(City)	(State)	(Zip)										
Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned												
			2. Transaction Date	2A. Deemed Execution Date.	3. Transaction	4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 a		5. Amount of Securities	6. Ownership Form: Direct (D)	7. Nature of Indirect		

	Date (Month/Day/Year)	Execution Date, if any (Month/Day/Year) Transaction Code (Instr. 8)							Indirect Beneficial Ownership (Instr. 4)	
			Code	v	Amount	(A) or (D)	Price	(Instr. 3 and 4)		(1150.4)
Common Stock	07/10/2019 ⁽¹⁾		S		3,204	D	\$36	23,567	D	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities) 7. Title and Amount of Securities Underlying Derivative Security (Instr. 3A. Deemed Execution Date, if any (Month/Day/Year) 6. Date Exercisable and Expiration Date (Month/Day/Year) 11. Nature of Indirect Beneficial 1. Title of Derivative 8. Price of Derivative 3. Transaction 5. Number of 9. Number of 10. 2. Conversion Transaction derivative Securities Date Derivative Ownership or Exercise Price of Derivative Security (Month/Dav/Year Security (Instr. 3) Code (Instr. 8) Security (Instr. 5) Securities Form: Direct (D) or Indirect (I) (Instr. 4) Acquired (A) or Disposed of (D) (Instr. 3, 4 Beneficially Owned Ownership (Instr. 4) 3 and 4) Following Reported Transaction(s) (Instr. 4) and 5) Amount or Number of

Date Exercisable

Expiration

Titlo

Dat

Explanation of Responses:

1. This transaction was made pursuant to a Rule 10b5-1 trading plan adopted by the reporting person on August 24, 2018.

Remarks:

Senior Vice President, Chief Accounting Officer and Controller

/s/ Danielle Do, as attorney in fact 07/12/2019

** Signature of Reporting Person

Shares

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Code v

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

(A)

(D)